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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6


Application Number	09/849810
Filing Date	May 4, 2001
First Named Inventor	Santiago, et al.
Art Unit	2661
Examiner Name	unknown
Attorney Docket Number	1305.10-US-01

**ENCLOSURES** (Check all that apply)

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input checked="" type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|--|


Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Altera Law Group		
Signature			
Printed name	David H. Carroll		
Date	January 5, 2006	Reg. No.	29,903

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Elizabeth Doherty	Date	Jan. 5, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Serial No. 09/849810

JAN 05 2006

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Santiago, et al.	Examiner:	Unknown
Serial No.:	09/849810	Group Art Unit:	2661
Filed:	May 4, 2001	Docket No.:	01305.0010-US-01
Title:	SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS AND SUBFLOWS OF A DATA STREAM		

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE  
U.S. PATENT AND TRADEMARK OFFICE, FAX NO. (571) 273-8300 ON JAN. 5, 2006.

*Elizabeth DOHERTY*

Name

*Elizabeth Doherty*

Signature

**SECOND REFUND REQUEST UNDER 37 C.F.R. §1.26**

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On May 10, 2005, a refund request was filed by our office relating to an item incorrectly debited from our PTO deposit account. As of today's date, we have not received a response to our request (other than the return postcard showing receipt at the PTO) or a refund to our deposit account. We herewith refile our request as follows:

On May 5, 2005, our deposit account 50-1038 was charged \$1,300 for "independent claims in excess of 3". The patent application involved is for 09/849810. A review of public PAIR clearly shows that current counsel of record, PATTERSON, THUENTE, SKAAR & CHRISTENSEN, P.A., filed a response to an office action that was received April 25, 2005. In that action applicant added claims at the cost of \$1,300. What isn't found on PAIR is an authorization that this fee be taken from Patterson's deposit account. Lack of an authorization may explain the error by the PTO in taking the money from our account. As the attached copy of the fee worksheet found on PAIR shows, the PTO updated their earlier worksheet used when the application was first filed (by our office) for the charge that should have been billed to Patterson in 2005. Please note that our withdrawal of power of attorney for this application was accepted by the Patent Office in February 2003. A copy of their Decision on Request to Withdraw as Attorney is also attached.

Serial No. 09/849810  
Page 2 of 2

PATENT

Obviously, since we are no longer prosecuting this patent application, the deposit account activity debiting our account for the \$1,300 fee was made in error. We therefore respectfully request that Deposit Account 50-1038, for Altera Law Group, be credited this amount.

Respectfully submitted,

Altera Law Group, LLC  
Customer No. 22865

Date: 01/05/06

By: 

David H. Carroll  
Reg. No. 29,903  
DHC/emd



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

Paper No. 8

ALTBRA LAW GROUP, LLC  
6500 CITY WEST PARKWAY  
SUITE 100  
MINNEAPOLIS MN 55344-7704

MAILED

FEB 19 2003

Technology Center 2600

In re Application of

Rodolfo Santiago et al

Application No. 09/849,810

Filed: May 4, 2001

For: SYSTEM AND METHOD FOR  
HIERARCHICAL POLICING OF FLOWS  
AND SUBFLOWS OF A DATA STREAM

DECISION ON REQUEST TO  
WITHDRAW AS ATTORNEY

This is a decision on the request to withdraw as attorney/agent of record filed on December 10, 2002.

A grantable request to withdraw as attorney/agent of record must:

- (1) indicate the present mailing address of the attorney(s)/agent(s) who seek(s) to withdraw, and
- (2) be signed by each attorney/agent seeking to withdraw or clearly be signed on their behalf, and
- (3) be *approved* at least thirty (30) days prior to the maximum extendable period for response to any outstanding Office Action, and
- (4) indicate the address to which future correspondence should be mailed

Petitioner has met all of the above. Accordingly, the request is **GRANTED**.

All attorneys and agents of record in this application are removed from record.

All future communications from the Office will be directed to the address listed below until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Patent and Trademark Office of any change in correspondence address to ensure receipt of all communications from the Office.

Krista Zele  
Special Program Examiner  
Technology Center 2600  
Communications  
(703) 305-4701

cc: Rodolfo Santiago  
2121 Glenhurst Road  
St. Louis Park, MN 55416

**PATENT APPLICATION FEE DETERMINATION RECORD**  
 Effective October 1, 2000

1305.104501

**CLAIMS AS FILED - PART I**

	(Column 1)	(Column 2)
TOTAL CLAIMS	60	
OR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	60 minus 20 =	40
INDEPENDENT CLAIMS	8 minus 3 =	5
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	49	60	
Independent	2	8	13
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total			
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	(Column 1)	(Column 2)	(Column 3)
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total			
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

- \* If the entry in column 1 is less than the entry in column 2, write "0" in column 2.  
 \*\* If the "Highest Number Previously Paid For" in THIS SPACE is less than 20, enter "20."  
 \*\*\* If the "Highest Number Previously Paid For" in THIS SPACE is less than 3, enter "3."  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY TYPE ☐ OR OTHER THAN SMALL ENTITY

RATE	FEE	RATE	FEE
BASIC FEE	355.00	BASIC FEE	710.00
X\$ 9=	360.00	X\$18=	
X40=	100.00	X80=	
+135=		+270=	
TOTAL	915.00	TOTAL	

SMALL ENTITY OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=	1300	X80=	
+135=		+270=	
TOTAL	1300	TOTAL	

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL		TOTAL	

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL		TOTAL	

USPTO FORM 730-101 (Rev. 8/00)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

04849810

152 U.S. PTO

S/N TO BE ASSIGNED

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Santiago et al. Serial No.: TO BE ASSIGNED  
 Filed: May 4, 2001 Docket No.: 1305.10US01  
 Title: SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS AND SUBFLOWS OF A DATA STREAM

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL733008235US

Date of Deposit: May 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:   
 Steven R. Funk

152 U.S. PTO  
 09/04/01  
 09/04/01

Box PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

- ☒ Patent application including **33** pages of specification, **11** pages of claims, **13** sheets of drawings and 1 page of abstract  
☒ Unsigned Declaration and Power of Attorney  
☒ Transmittal Sheet  
☒ Return postcard  
☒ Authorized to charge \$**915.00** to Deposit Account Number 50-1038 for Filing Fee

Applicant claims small entity status

## Assignee Information for Publication Purposes

Assignee Name: Terago Communications, Inc.  
 Assignee Residence: Maple Grove, Minnesota

01861860 80105 20000000 TH193AN S002/S0/50  
 01 FC:2201 1300.00 DA 00 00'00ET


CLAIMS FILED					
	Total Claims	In Excess of	Extra Claims Present	Rate	Fees
Basic Filing Fee					\$ 355.00
Total Claims	<b>60</b>	20	<b>40</b>	X \$9.00	\$ <b>360.00</b>
Indep. Claims	<b>8</b>	3	<b>5</b>	X \$40.00	\$ <b>200.00</b>
Multiply Dependent Claims					\$ 0.00
TOTAL FEES					\$ <b>915.00</b>

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altara Law Group, LLC  
 6500 City West Parkway, Suite 180  
 Minneapolis, MN 55344  
 (952) 912-0528

Date: May 4, 2001

By:   
 Steven R. Funk  
 (952) 912-0541  
 Reg. No. 37,830  
 SRF/LHT

changed  
 after  
 request  
 granted  
 to withdraw  
 as attorney.